United Nations S/AC.56/2015/8

Security Council

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8 July 2015

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Security Council Committee established pursuant to resolution 2140 (2014)

Note verbale dated 22 May 2015 from the United States Mission to the United Nations addressed to the Chair of the Committee

The United States Mission has the honour to enclose herewith the United States implementation report on Security Council resolutions 2140 (2014) and 2216 (2015) (see annex).

15-11584 (E) 150715

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Annex to the note verbale dated 22 May 2015 from the United States Mission to the United Nations addressed to the Chair of the Committee

Report of the United States of America on its implementation of Security Council resolutions 2140 (2014) and 2216 (2015)

Travel ban

1. Under the applicable provisions of United States law, including section 212 of the Immigration and Nationality Act of 1952 (8 U.S.C. 1182), the United States has the necessary authority to prevent the entry into or transit through the United States' territories of individuals designated by the Committee established pursuant to paragraph 19 of resolution 2140 (2014) on Yemen, provided that those individuals are not nationals of the United States. To the extent consistent with United States law, the United States may permit entry into or transit through its territory of such individuals where the Committee determines on a case-by-case basis that such travel is justified on the grounds of humanitarian need, including religious obligation, where entry or transit is necessary for the fulfilment of a judicial process, or where the Committee determines on a case-by-case basis that an exemption would further the objectives of peace and security in Yemen and stability in the region.

Asset freeze

2. Under the applicable provisions of United States law, including the International Emergency Economic Powers Act (50 U.S.C. 1701 et seq.), the National Emergencies Act (50 U.S.C. 1601 et seq.) and section 301 of title 3 of the United States Code, the United States has the authority to take the necessary measures to implement an asset freeze imposed by Security Council resolution 2140 (2014). Signed on 16 May 2012, United States Executive Order (E.O.) 13611 authorizes the Secretary of the Treasury, in consultation with the Secretary of State, to sanction, inter alia, those who have engaged in acts that threaten the peace, security or stability of Yemen. The United States Department of the Treasury's Office of Foreign Assets Control (OFAC) takes action in accordance with E.O. 13611 to freeze designated individuals' or groups' assets subject to United States jurisdiction. On 7 November 2014, the Committee established pursuant to paragraph 19 of resolution 2140 (2014) designated former President Ali Abdullah Saleh and Houthi leaders Abdullah Yahya al-Hakim and Abd al-Khaliq al-Houthi for an asset freeze. OFAC designated both Saleh and the Houthi leaders on 10 November 2014 under E.O. 13611. On 14 April 2015, the Committee established pursuant to paragraph 19 of resolution 2140 (2014) designated Ahmed Ali Saleh and Houthi leader Abd al-Malik al-Houthi for an asset freeze. OFAC designated both Saleh and al-Houthi on the same day.

Arms embargo

3. The transfer or export of United States defence articles and defence services is controlled by the Arms Export Control Act (AECA). The AECA is the governing statutory authority for Government-to-Government agreements (the Foreign Military Sales (FMS) system) and the licensing of direct commercial sales (DCS). The International Traffic in Arms Regulations (ITAR) implement the AECA and

regulate the DCS licensing system. The AECA and ITAR serve as the basis for United States compliance with the targeted arms embargo in Yemen imposed by resolution 2216 (2015).

4. The United States munitions export control system is designed to deny access to United States-origin defence equipment and technology to adversaries and parties whose interests are inimical to those of the United States. The export control process is closely regulated and excludes the participation of parties that are embargoed by the United Nations or are otherwise ineligible.

5. The United States requires all United States persons who manufacture or export defence articles or furnish defence services, as well as United States and foreign persons engaged in arms brokering, to register with the United States Department of State. Once registered, any export of defence articles, furnishing of defence services or the brokering thereof must be authorized through a licence or other authorization by the Department of State. Direct commercial sales are subject to end-use monitoring under the AECA as implemented by the Department of State's "Blue Lantern" Programme. Arms export control violations, including provision of defence articles and technology to ineligible persons, are subject to strict penalties, both criminal (including a prison sentence of 20 years and/or a $1 million fine for each violation) and civil (debarment from participation in United States defence trade and monetary penalties up to $500,000 for each violation).

6. The United States intends to consider further implementing measures as may be appropriate, consistent with resolution 2216 (2015).

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Security Council

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Security Council Committee established pursuant to resolution 2140 (2014)

Note verbale dated 26 May 2015 from the Permanent Mission of Egypt to the United Nations addressed to the Chair of the Committee

The Permanent Mission of the Arab Republic of Egypt to the United Nations presents its compliments to the Chair of the Security Council Committee established pursuant to resolution 2140 (2014) with reference to the latter's note verbale dated 11 March 2015 regarding resolution 2204 (2015) (paras. 11 (assets freeze) and 15 (travel ban) of resolution 2140 (2014)).

In this connection, and with regard to the steps that have been taken by the Arab Republic of Egypt with a view to implementing effectively the paragraphs cited above, the Permanent Mission of the Arab Republic of Egypt to the United Nations has the honour to inform you of the following:

1. The Arab Republic of Egypt is committed to the implementation of the assets freeze and travel ban measures listed in paragraphs 11 and 15 of resolution 2140 (2014) and referred to in resolution 2204 (2015).

2. The provisions of the cited resolutions, in addition to resolution 2216 (2015), have been circulated to the governmental bodies and authorities concerned in order to take all the necessary measures towards the implementation of the above-mentioned resolutions.

3. The Government of the Arab Republic of Egypt indicates that no funds, assets or bank accounts in any of the Egyptian banks belong to any of the individuals on the sanctions list of the Committee. In addition, the data relating to those listed individuals have been circulated to the port authorities responsible for the implementation of the travel ban.

4. The Government of the Arab Republic of Egypt affirms its commitment to the implementation of the Security Council sanctions under Chapter VII of the Charter of the United Nations. It will remain committed to the provisions of the Charter and will continue its efforts towards maintaining international peace and security and collective security.

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United Nations S/AC.56/2015/10

Security Council

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8 July 2015

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Security Council Committee established pursuant to resolution 2140 (2014)

Note verbale dated 26 May 2015 from the Permanent Mission of Malaysia to the United Nations addressed to the Chair of the Committee

The Permanent Mission of Malaysia to the United Nations presents its compliments to the Security Council Committee established pursuant to resolution 2140 (2014) and has the honour to submit the report of the Government of Malaysia prepared pursuant to paragraph 9 of Security Council resolution 2204 (2015) (see annex).

15-11589 (E) 160715

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Annex to the note verbale dated 26 May 2015 from the Permanent Mission of Malaysia to the United Nations addressed to the Chair of the Committee

Report of the Government of Malaysia submitted pursuant to Security Council resolution 2140 (2014)

1. Malaysia remains committed to the implementation of Security Council resolution 2140 (2014) concerning Yemen.

2. In this regard, Malaysia is pleased to submit to the Security Council Committee established pursuant to resolution 2140 (2014) the steps which Malaysia has taken with a view to implementing the obligations contained in paragraphs 11 and 15 of resolution 2140 (2014) and paragraph 9 of Security Council resolution 2204 (2015).

Travel ban

3. In complying with resolution 2140 (2014), the Immigration Department of Malaysia has imposed travel bans under the Immigration Act 1959/63 to prevent the entry into or transit through its territories of the three individuals designated by the Committee.

4. The Immigration Department of Malaysia further confirmed that there has been no record of the listed individuals entering or leaving Malaysia.

Asset freeze

5. The relevant financial institutions have been notified by the Central Bank of Malaysia to freeze funds, other financial assets and economic resources that are owned or controlled, directly or indirectly, by any individuals or entities designated by the Committee, or by any individuals or entities acting on their behalf or at their direction, or by entities owned or controlled by them in accordance with the applicable domestic laws and regulations.

6. A provision under section 82 of the Central Bank of Malaysia Act 2009 (Act) enables the Central Bank of Malaysia to undertake action(s) with regard to an individual or entity designated by the Committee.

7. This provision provides the legal basis for the Central Bank of Malaysia to instruct financial institutions under its supervision to freeze the funds, financial assets or economic resources of individuals and entities designated by the Security Council Committee established pursuant to resolution 2140 (2014). Action can be taken against (a) financial institution(s) for non-compliance with the Central Bank's instruction in this regard.

8. The Government of Malaysia is also introducing a special provision in the Securities Commission (Amendment) Bill 2015 in order to grant the Securities Commission Malaysia the authority to instruct its licensed intermediaries to freeze the assets or accounts of designated individuals and entities. The Securities Commission (Amendment) Bill 2015 was approved by the House of Representatives of Malaysia on 8 April 2015 and by the Senate on 22 April 2015.

9. With reference to the letter from the Coordinator of the Panel of Experts to the Mission of Malaysia dated 17 November 2014, the Malaysian authorities have undertaken investigations into the allegation that there might be assets, including real, intangible and financial assets, disguised in Malaysia to hinder the identification of the true owner, in relation to the designated individuals. The scope of the investigations covered the three designated individuals listed by the Yemen Sanctions Committee, as well as 20 other individuals who have been identified for possibly aiding Ali Abdullah Saleh in hiding his assets.

10. The findings of the investigations found no evidence of assets, including real, intangible and financial assets, disguised in Malaysia to hinder the identification of the true owner, in relation to the designated individuals. Licensed intermediaries in Malaysia also confirmed that they have no dealings with any of the designated/identified individuals.

11. Malaysia reaffirms its commitment to its obligations under the Charter of the United Nations and stands ready to extend its cooperation to the Panel of Experts and the Committee.

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Security Council

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8 July 2015

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Security Council Committee established pursuant to resolution 2140 (2014)

Note verbale dated 27 May 2015 from the Permanent Mission of the Czech Republic to the United Nations addressed to the Chair of the Committee

With reference to your request for information regarding the steps that countries have taken with a view to implementing effectively the measures imposed by paragraphs 11 and 15 of resolution 2140 (2014), we are pleased to provide the following information on behalf of the Czech Republic:

1. Paragraph 11 (assets freeze): these measures are implemented in accordance with European Council decision 2014/932/CFSP and European Union regulation No. 1352/2014. The Ministry of Finance (financial-analytical unit) has not yet received any information on any frozen assets in the Czech Republic of the three individuals who were listed on 7 November 2014.

2. Paragraph 15 (travel ban): listed individuals are included in the Schengen Information System database as well as a national database and are refused entry visas.

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Security Council

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8 July 2015

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Security Council Committee established pursuant to resolution 2140 (2014)

Note verbale dated 27 May 2015 from the Permanent Mission of Finland to the United Nations addressed to the Chair of the Committee

The Permanent Mission of Finland to the United Nations presents its compliments to the Chair of the Security Council Committee established pursuant to resolution 2140 (2014) and, referring to paragraph 9 of Security Council resolution 2204 (2015), has the honour to transmit herewith the report of Finland on the steps taken with a view to implementing effectively the measures imposed by paragraphs 11 and 15 of resolution 2140 (2014) (see annex).

15-11590 (E) 160715

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Annex to the note verbale dated 27 May 2015 from the Permanent Mission of Finland to the United Nations addressed to the Chair of the Committee

Report submitted by Finland to the Security Council Committee established pursuant to resolution 2140 (2014)

1. Pursuant to paragraph 9 of resolution 2204 (2015), Finland has the honour to provide the Security Council Committee established pursuant to resolution 2140 (2014) with the following information on the steps taken with a view to implementing effectively the measures imposed by paragraphs 11 and 15 of resolution 2140 (2014).

Measures adopted by the European Union

2. The European Union immediately initiated the preparation of legal instruments implementing the provisions of resolution 2140 (2014).

3. On 18 December 2014, the Council of the European Union adopted Council decision 2014/932/CFSP concerning restrictive measures in view of the situation in Yemen. The Council decision sets out the basis for European Union implementation of the measures contained in resolution 2140 (2014).

4. In addition to the Council decision, the Council of the European Union adopted on 18 December 2014 Council regulation (EU) No. 1352/2014 concerning restrictive measures in view of the situation in Yemen. The Council regulation includes implementing provisions on those of the above measures falling within the scope of the Treaty on the Functioning of the European Union. Council regulations are binding in their entirety and directly applicable in all European Union Member States.

5. Council decision 2014/932/CFSP includes provisions on travel restrictions on listed natural persons. The provisions on the freezing of funds and the economic resources of listed persons, entities and bodies are included in the Council decision and in Council regulation (EU) No. 1352/2014.

National implementing measures

6. At the national level, sanctions are imposed by virtue of the Act on the Enforcement of Certain Obligations of Finland as a Member of the United Nations and the European Union (Sanctions Act, No. 659/1967).

7. The Sanctions Act, together with the Penal Code (No. 39/1889), provides for penalties and forfeitures to be imposed for violations of Council sanction regulations. According to chapter 46, section 1 (9) (as of 1 June 2015; until 31 May 2015: chapter 46, section 1 (11)), of the Penal Code, a person who violates or attempts to violate a regulatory provision in a sanctions regulation shall be sentenced for a regulation offence to a fine or to imprisonment for at most two years. Pursuant to chapter 46, section 2, of the Penal Code, the penalty for an aggravated regulation offence is at least four months and at most four years of imprisonment.

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Security Council

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Security Council Committee established pursuant to resolution 2140 (2014)

Note verbale dated 4 June 2015 from the Permanent Mission of Latvia to the United Nations addressed to the Chair of the Committee

The Permanent Mission of the Republic of Latvia to the United Nations in New York presents its compliments to the Security Council Committee established pursuant to resolution 2140 (2014).

In accordance with paragraphs 11 and 15 of Security Council resolution 2140 (2014), Latvia would like to hereby submit its national implementation report (see annex). In case any further information on the matter is needed, the Government of Latvia stands ready to provide it.

15-11601 (E) 150715

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Annex to the note verbale dated 4 June 2015 from the Permanent Mission of Latvia to the United Nations addressed to the Chair of the Committee

National implementation report by the Republic of Latvia

1. The Republic of Latvia and the other member States of the European Union have jointly implemented the travel ban and financial restrictions imposed by Security Council resolution 2140 (2014) by taking the following measures:

- Council decision 2014/932/CFSP of 18 December 2014 concerning restrictive measures in view of the situation in Yemen.[1] The Council decision sets out the European Union's commitment to implement the measures contained in resolution 2140 (2014), namely:
  - The freezing of funds and economic resources of entities and individuals;
  - Travel restrictions;
- Council regulation (EU) No. 1352/2014 of 18 December 2014 concerning restrictive measures in view of the situation in Yemen.[2] The Council has adopted this regulation in order to implement measures provided for in Council decision 2014/932/CFSP as regards the freezing of funds and the economic resources of entities and individuals;
- Council regulation (EC) No. 539/2001 of 15 March 2001 listing the third countries whose nationals must be in possession of visas when crossing the external borders and those whose nationals are exempt from that requirement[3] (and its subsequent amendments). This regulation requires nationals of Yemen to be in possession of a visa when entering the European Union. Therefore, the respective restrictions on admission are to be implemented through the visa application process.

2. The penalties determined by the Republic of Latvia as regards infringements of the restrictive measures imposed by international organizations are set out in "Criminal Law"[4] of the Republic of Latvia, adopted on 17 June 1998, in particular, section 84.

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[1] *Official Journal of the European Union*, L 365, 19 December 2014, p. 157/151.
[2] *Official Journal of the European Union*, L 365, 19 December 2014, p. 60/69.
[3] *Official Journal of the European Union*, L 195, 27 July 2010, p. 16.
[4] *Official Gazette of the Republic of Latvia* ("Latvijas Vēstnesis"), No. 199/200, 8 July 1998.

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8 July 2015

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Security Council Committee established pursuant to resolution 2140 (2014)

Note verbale dated 8 June 2015 from the Permanent Mission of New Zealand to the United Nations addressed to the Chair of the Committee

The Permanent Mission of New Zealand to the United Nations presents its compliments to the Security Council Committee established pursuant to resolution 2140 (2014) and has the honour to submit the report of New Zealand prepared pursuant to paragraph 9 of resolution 2204 (2015) (see annex).

15-11602 (E) 150715

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Annex to the note verbale dated 8 June 2015 from the Permanent Mission of New Zealand to the United Nations addressed to the Chair of the Committee

New Zealand report on the implementation of sanctions imposed by Security Council resolutions 2140 (2014)

1. In paragraph 9 of its resolution 2204 (2015), the Security Council called upon "all Member States to report to the Committee within 90 days of the adoption of this resolution on the steps they have taken with a view to implementing effectively the measures imposed by paragraphs 11 and 15 of resolution 2140 (2014)".

2. New Zealand wishes to inform the Committee that it has implemented resolution 2140 (2014) by issuing the United Nations Sanctions (Yemen) Regulations 2014 ("the Regulations"), promulgated under the United Nations Act 1946. The Regulations entered into force in May 2014. New Zealand is in the process of amending these regulations to take account of further measures imposed by resolution 2216 (2015).

Implementation of paragraphs 11 and 15 of resolution 2140 (2014)

3. The Regulations implement the asset freeze imposed under paragraph 11 of resolution 2140 (2014) through regulations 5-7, prohibiting dealing in assets, money or securities of designated persons or sending assets, money or securities to designated persons, except in accordance with subparagraphs 12 (a)-(c) of the resolution.

4. The Regulations implement the travel ban under paragraph 15 of resolution 2140 (2014) through regulation 4, preventing the entry into or transit through New Zealand by designated persons except where their entry or transit is permitted under subparagraphs 16 (a)-(d) of the resolution.

5. Further information on New Zealand's implementation of Security Council sanctions is available at http://www.mfat.govt.nz/Treaties-and-International-Law/09-United-Nations-Security-Council-Sanctions/index.php.

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Security Council

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Security Council Committee established pursuant to resolution 2140 (2014)

Note verbale dated 3 June 2015 from the Permanent Mission of Monaco to the United Nations addressed to the Chair of the Committee

The Permanent Mission of the Principality of Monaco to the United Nations presents its compliments to the Security Council Committee established pursuant to resolution 2140 (2014) concerning Yemen and, with reference to the Committee's note verbale of 11 March 2015 pursuant to paragraph 9 of resolution 2204 (2015), has the honour to provide the following information.

With regard to freezing assets, the Principality adopted, on 9 January 2015, Ministerial Order No. 2015-3* imposing measures for the freezing of funds and economic resources of individuals designated by the Security Council Committee established pursuant to resolution 2140 (2014) through measures taken by the European Union under the European common security and defence policy.

With regard to restrictions on the movement of persons, pursuant to its participation in the Schengen area, the Principality enforces the same rules as does the European Union. Therefore, these measures apply in the Principality from the moment of their adoption by the European Union.

* The Ministerial Order is on file with the Secretariat and is available for consultation.

15-11603 (E) 090715 160715

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Security Council

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8 July 2015

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Security Council Committee established pursuant to resolution 2140 (2014)

Note verbale dated 8 June 2015 from the Permanent Mission of Hungary to the United Nations addressed to the Chair of the Committee

The Permanent Mission of Hungary to the United Nations presents its compliments to the Chair of the Security Council Committee established pursuant to resolution 2140 (2014) and has the honour to inform the Committee of the following:

Resolution 2140 (2014) concerning Yemen was transposed into European Union law by Council decision 2014/932/CFSP of 18 December 2014 and by Council regulation (EU) No. 1352/2014 of 18 December 2014 concerning restrictive measures in view of the situation in Yemen.

Hungary implements the United Nations resolutions concerned through the European Union decision and regulation mentioned above.

In Hungary, when a European Union legal act orders a financial and asset-related restrictive measure, it shall be executed in accordance with Act CLXXX of 2007 on the implementation of financial and asset-related restrictive measures ordered by the European Union, and on respective amendments of other laws (hereinafter "FRM Act"). The last amendments to the FRM Law set out in Act LII of 2013 on the Amendment of Act CXXXVI of 2007 on the Prevention and Combating of Money-Laundering and Terrorist Financing, and of Certain Related Acts. The Act has been applied effectively by the competent authorities as well as the service providers in Hungary since its entry into force on 1 July 2013.

Based on the FRM Act, the Hungarian Financial Intelligence Unit (HFIU) is the central authority for the implementation of financial and asset-related restrictive measures. Regarding the sanctions related to Yemen, HFIU introduced the following measures:

- Published the direct links of the relevant European Union regulations on its home page;
- The colleagues of HFIU have been informed and pay special attention, owing to the risk-based approach, to those suspicious transaction reports which can

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be related to the content of the restrictive measures concerned in the course of their analytical work.

Based on the above-mentioned European Union regulations, no financial restrictive measures (freezing) were taken by HFIU.

Concerning the resolution's paragraph 15 regarding a travel ban, Hungary follows the European Union regulations. The member State holding the presidency of the Council of the European Union is responsible for adding the individuals concerned to the Schengen Information System, which is mandatory for all member States.

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Security Council

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9 July 2015

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Security Council Committee established pursuant to resolution 2140 (2014)

Note verbale dated 9 June 2015 from the Permanent Mission of Serbia to the United Nations addressed to the Chair of the Committee

The Permanent Mission of the Republic of Serbia to the United Nations presents its compliments to the Security Council Committee established pursuant to resolution 2140 (2014) and has the honour to forward, enclosed herewith, the report on the measures taken by the Government of the Republic of Serbia to implement Security Council resolution 2140 (2014) (see annex).

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Annex to the note verbale dated 9 June 2015 from the Permanent Mission of Serbia to the United Nations addressed to the Chair of the Committee

Republic of Serbia: report on the measures taken to implement Security Council resolution 2140 (2014)

Proceeding from its international obligations and domestic legislation (law to prohibit money-laundering and terrorism financing, *Official Journal of the Republic of Serbia*, Nos. 20/09, 72/09 and 91/10; Law on the National Bank, *Official Journal of the Republic of Serbia*, Nos. 72/03, 55/04 and 85/05 — Second Law Nos. 44/10, 76/12, 106/12 and 14/15; Law on banks, *Official Journal of the Republic of Serbia*, Nos. 107/05, 91/10 and 14/15; Law on foreign exchange transactions, *Official Journal of the Republic of Serbia*, Nos. 62/06, 31/11 and 119/12; Law on payments, *Official Gazette of the Federal Republic of Yugoslavia*, Nos. 3/02 and 5/03; *Official Journal of the Republic of Serbia*, Nos. 43/04, 62/06 and 31/11; Law on aliens, *Official Journal of the Republic of Serbia*, No. 97 /08; and Law on the protection of the State border, *Official Journal of the Republic of Serbia*, No. 97/08), the Republic of Serbia has taken the following measures to implement Security Council resolution 2140 (2014):

(a) Measures pursuant to paragraph 11 of the resolution to prohibit the provision of financial services and/or the transfer of any financial or other assets or resources that may be related to persons and entities designated by the Security Council Committee established pursuant to resolution 2140 (2014) to oversee the relevant sanctions measures, including as persons and entities obstructing the achievement of transition goals provided for in paragraph 2 of the resolution;

(b) Steps to monitor the prohibition of all transactions referred to above in accordance with national legislation; and

(c) Measures pursuant to paragraph 15 of the resolution to prohibit entry or transit through its territory to persons designated by the Security Council Committee established pursuant to resolution 2140 (2014) to oversee the relevant sanctions measures, including as persons and entities obstructing the achievement of transition goals provided for in paragraph 2 of the resolution.

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Security Council

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9 July 2015

Original: English

Security Council Committee established pursuant to resolution 2140 (2014)

Letter dated 5 June 2015 from the Permanent Representative of Albania to the United Nations addressed to the Chair of the Committee

I have the honour to write you in reply to your letter of 11 March 2015 requesting information on the steps undertaken to effectively implement paragraph 9 of Security Council resolution 2204 (2015).

In this connection, I have the further honour to enclose herewith information on the steps taken by the Republic of Albania to implement measures imposed by paragraphs 11 (assets freeze) and 15 (travel ban) of resolution 2140 (2014) (see annex).

(*Signed*) Ferit **Hoxha**
Ambassador
Permanent Representative

15-11660 (E) 200715

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Annex to the letter dated 5 June 2015 from the Permanent Representative of Albania to the United Nations addressed to the Chair of the Committee

Implementation of Security Council resolution 2204 (2015) by the Republic of Albania

1. The Republic of Albania is currently implementing the Anti-Terrorism Strategy 2011-2015 and the related Action Plan as well as the National Cross-Cutting Strategy on the Fight against Organized Crime, Trafficking and Terrorism for 2013-2020. These documents assign specific and relevant obligations and responsibilities to central and local institutions with regard to anti-terrorism activities.

2. The Ministry of the Interior, through the Anti-Terrorism Directorate of the State Police, pursuant to applicable law and the above strategies, collects and administers relevant records related to travel bans. Border crossing points are duly notified and constantly updated on the names of persons listed in the Total Information Management System (TIMS). Once identified by the System, individuals suspected by anti-terrorism structures of terrorism activities are subject to special procedures.

3. With a view to increasing efficiency, State Police structures also have access to biometric information (through biometric passports and photos).

4. On the basis of available information, it has been concluded that Albanian citizens participating in the Syrian conflict have reached the territory of the Syrian Arab Republic using three different itineraries, as follows:

- Land route — Albania-Greece-Turkey-Syria
- Land route — Albania-Macedonia-Bulgaria-Turkey-Syria
- Air travel — Albania-Turkey and then land route until the territory of Syria

5. It is assumed that travel expenses and other costs for the trip to Syria have usually been covered by the organizers; however, financial supporters have in several cases directly financed travel and other costs of individuals participating in foreign conflicts.

6. During the period 2013-2014, the State Police, in collaboration with the Office of Prosecution for Serious Crimes, investigated several individuals suspected of being organizers, financial supporters and/or participants in foreign conflicts.

7. Following the investigations, nine individuals have been arrested and international arrest warrants have been issued for four individuals on criminal charges provided for in the following articles of the Criminal Code:

- Article 231: recruitment of persons for committing acts with terrorist purposes or financing of terrorism;
- Article 232/a: incitement, public call and propaganda for committing acts with terrorist purposes; and
- Article 265: inciting hate or disputes on the grounds of race, ethnicity, religion or sexual orientation.

8. Since 2014, the number of Albanian citizens participating in current conflicts in Syria/Iraq (for terrorist groups such as ISIS and Al-Nusra) has significantly decreased, owing mainly to new legal provisions in force providing for the criminal responsibility of individuals who organize, recruit or participate in conflicts abroad. Relevant investigations, followed by the arrest of organizers, are also believed to have had a significant deterrent effect.

9. During 2014, there were no cases of asset freezing pursuant to paragraph 11 of resolution 2140 (2014).

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Security Council

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9 July 2015

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Security Council Committee established pursuant to resolution 2140 (2014)

Note verbale dated 17 June 2015 from the Permanent Mission of Romania to the United Nations addressed to the Chair of the Committee

The Permanent Mission of Romania to the United Nations presents its compliments to the Security Council Committee established pursuant to resolution 2140 (2014) and has the honour to present the following information, in accordance with paragraph 9 of Security Council resolution 2204 (2015), regarding the implementation of paragraphs 11 and 15 of Council resolution 2140 (2014).

Resolution 2140 (2014) has been published on the websites of the Romanian implementing authorities.

The necessary measures for identifying funds or any other economic resources of the designated persons have been undertaken; however, no freezing orders have been issued to date.

The identifying information on the five designated individuals has been included in the relevant systems for the implementation of the restrictions on admission.

15-11661 (E) 160715

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Security Council

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9 July 2015

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Security Council Committee established pursuant to resolution 2140 (2014)

Letter dated 23 June 2015 from the Permanent Representative of Switzerland to the United Nations addressed to the Chair of the Committee

Please find attached the report of Switzerland on the implementation of Security Council resolution 2204 (2015).

(*Signed*) Paul **Seger**
Ambassador
Permanent Representative

15-11662 (E) 160715 210715

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Annex to the letter dated 23 June 2015 from the Permanent Representative of Switzerland to the United Nations addressed to the Chair of the Committee

[Original: French]

Report of Switzerland on the implementation of United Nations Security Council resolutions 2140 (2014) and 2204 (2015)

1. Pursuant to paragraph 9 of resolution 2204 (2015) of 24 February 2015, Switzerland has the honour to provide to the Security Council Committee established pursuant to resolution 2140 (2014) the following information concerning the implementation of the measures imposed by paragraphs 11 and 15 of resolution 2140 (2014).

2. On 5 December 2014, the Swiss Federal Council (the Government) adopted an ordinance* instituting measures against Yemen in order to implement the United Nations sanctions established in resolution 2140 (2014). The annex to the ordinance contains, to date, the names of the persons listed on 7 November 2014 by the Security Council Committee established pursuant to resolution 2140 (2014) and the names of additional individuals listed in the annex to resolution 2216 (2015) of 14 April 2015.

3. The legal basis of the ordinance is the Federal Act of 22 March 2002 concerning the implementation of international sanctions (Embargoes Act).

Paragraph 11 of resolution 2140 (2014): Asset freeze

4. This paragraph is implemented by article 1 of the ordinance. Article 1, paragraph 1, provides for the freezing of assets and economic resources which are owned or controlled by (1) individuals, companies or entities listed in the annex to the ordinance; (2) individuals, companies or entities acting on behalf of or on instructions from the persons listed in the annex; and (3) companies or entities owned or controlled by the individuals, companies or entities referred to in items (1) and (2). Article 1, paragraph 2, prohibits the provision of assets to individuals, companies or entities subject to the asset freeze and prevents assets or economic resources from being made available to them, whether directly or indirectly.

Paragraph 15 of resolution 2140 (2014): Travel ban

5. This paragraph is implemented by article 3 of the ordinance. Article 3, paragraph 1, prohibits the entry into or transit through Switzerland of the individuals listed in the annex to the ordinance.

6. Cases in which an exception to the travel ban may be granted, pursuant to paragraph 16 of resolution 2140 (2014), are set out in article 3, paragraph 2.

Other measures

7. Article 5 of the ordinance also establishes the obligation to declare frozen assets to the competent Swiss authorities.

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* The ordinance is on file with the Secretariat and is available for consultation.

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Security Council

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9 July 2015

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Security Council Committee established pursuant to resolution 2140 (2014)

Note verbale dated 7 July 2015 from the Permanent Mission of Italy to the United Nations addressed to the Chair of the Committee

The Permanent Mission of Italy to the United Nations presents its compliments to the Chair of the Security Council Committee established pursuant to resolution 2140 (2014) and has the honour to inform the Chair, in response to the note verbale dated 11 March 2015, that, pursuant to paragraph 9 of Security Council resolution 2204 (2015), in which the Council called upon all Member States to report to the Committee within 90 days of the adoption of the resolution on the steps they have taken with a view to implementing effectively the measures imposed by resolution 2140 (2014):

(a) Resolution 2140 (2014) is implemented in Italy through the following European Union legislation, fully mandatory and directly applicable:

(i) Council decision 2014/932/CFSP of 18 December 2014 concerning restrictive measures in view of the situation in Yemen;[1]

(ii) Council regulation (EU) No. 1352/2014 of 18 December 2014 concerning restrictive measures in view of the situation in Yemen;[2]

(b) The Italian Financial Security Committee has informed the Italian Foreign Ministry that so far no assets and financial resources belonging to persons or entities listed in accordance with the aforementioned Security Council resolutions have been frozen in Italy.

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[1] *Official Journal of the European Union*, OL L 365, 19 December 2014, p. 147.
[2] *Official Journal of the European Union*, OL L 365, 19 December 2014, p. 60.

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